**REVIEW PAPER**



# **Oceanic anoxic events in the Earth's geological history and signature of such event in the Paleocene-Eocene Himalayan foreland basin sediment records of NW Himalaya, India**

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### **Abstract**

Oceanic anoxic events (OAEs) represent changes in global carbon cycle as well as biogeochemical cycles and are robust recorders of major changes brought in the ocean-atmosphere system of the Earth. In the present study, a comprehensive compilation of well-documented OAEs in the Earth's geological history indicates that compared to the plethora of OAE studies in different parts of the world, the Indian part lacks sufficient such geological studies. Also, it has been observed that despite the variety of causes referred by researchers for the occurrence of OAEs based on various geological proxies, their development tends to cluster in particular periods having unique geological settings under specifc climate conditions of the Earth. OAEs usually coincided with Earth's greenhouse condition and in marine to shallow marine depositional settings, which have been associated with rapid alternating phases of transgression and regression. The Paleocene-Eocene thermal maximum (PETM) at the Paleocene-Eocene boundary comprises the last OAE of the Phanerozoic Eon and the only identifed OAE in the Cenozoic. The deposition of Himalayan foreland basin sediments during Paleocene-Eocene time coincides with the India-Eurasia collision and PETM. Characteristic litho sections of Paleocene-Eocene HFB shallow marine sediments represented by the Subathu Formation occur in and around the Jammu region (of the Ramngar sub-basin) and Simla region (of the Subathu sub-basin) of NW Himalaya (India) and have been explored for OAE records. Besides the fact that deposition of these sediments coincides with PETM, there are many other reasons which suggest possible representation of these as records of OAE. For example, these sediments show OAE specifc sedimentary and biostratigraphic facies associations which are characteristic of alternating transgressive-regressive successions. The base of these early HFB sediments consists of sideritic ironstone, phosphorite, and black shale association indicating commencement of basinal sedimentation under euxinic, shallow marine conditions. Though these Paleocene-Eocene HFB sediments comprising dominantly black to graygreen shales are regionally extensive, however, these occur in discontinuous patches all along the HFB and also greatly vary in thickness due to the tectonic complexity of the Himalayan region.

**Keywords** Oceanic anoxic events (OAEs) · Himalayan foreland basin (HFB) sediments · Paleocene-Eocene thermal maximum (PETM) · Subathu formation · Himalayan orogeny

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# **Introduction**

The Earth's geological history had been interrupted by major geological events. This is well documented on the basis of multi-proxy data and evidence. OAEs/anoxic events represent one such signature. The anoxic event refers to the time interval when portions of oceans become depleted in oxygen over a signifcantly large geographic area (Schlanger and Jenkyns [1976;](#page-25-0) Jenkyns et al. [2004](#page-21-0); Jenkyns [2010](#page-21-1); Jenkyns et al. [2017\)](#page-22-0). These were frst described in detail by Schlanger and Jenkyns ([1976](#page-25-0)) from the discoveries made by the deepsea drilling project in the Pacifc Ocean. In general, multiple

geological records have shown that the anoxic events though were not continuous for millions of years but occurred many times as short time span intervals in the Earth's geological history (Fig. [1\)](#page-1-0). There has been significant research work done across the world, wherein it has been shown that OAEs are robust recorders of past global changes in Earth's climate and ocean chemistry bringing out major changes in the global carbon cycle (Jenkyns [2010;](#page-21-1) Jenkyns et al. [2017](#page-22-0)). Also, researchers have temporally associated these with large igneous provinces ((LIP) Mukherjee et al. [2017](#page-23-0)) as well as mass extinction events (Ernst and Youbi [2017](#page-20-0); Bastos et al. [2020](#page-18-0); Kabanov and Jiang [2020](#page-22-1); Kuroyanagi et al. [2020](#page-22-2); Hennekam et al. [2020;](#page-21-2) Fig. [1\)](#page-1-0).

The OAEs are primarily defned by the relatively high total organic content (TOC) level and are associated with a perturbation in the global carbon cycle, but the forcing mechanism behind this is still debatable. Researchers have documented the occurrence of OAEs worldwide at different times (Table [1](#page-2-0)) and suggested many factors either alone or in combination which are responsible for bringing changes in eustatic level, tectonism, volcanism, dissolution of gas hydrates, oceanic circulation pattern as well as asteroid impacts (Schlanger and Jenkyns [1976](#page-25-0); Jenkyns et al. [2004;](#page-21-0) Jenkyns [2010](#page-21-1); Pandey and Pathak [2016](#page-24-0) and references therein; Jenkyns et al. [2017](#page-22-0); Jenkyns [2018](#page-21-3); Kemp et al. [2020](#page-22-3); Bonacina et al. [2021](#page-18-1)). For example, these factors could become a cause for the abrupt rise in global temperature accompanied by accelerated hydrological cycle,

increased continental weathering, enhanced nutrients discharge into the ocean and lakes, intensifed upwelling, and increase in organic productivity (Schlanger and Jenkyns [1976;](#page-25-0) Arthur and Sageman [1994;](#page-17-0) Larson and Erba [1999](#page-23-1); Leckie et al. [2002;](#page-23-2) Weissert and Erba [2004;](#page-26-0) Jenkyns [2010](#page-21-1); Jenkyns et al. [2017;](#page-22-0) Kemp et al. [2020](#page-22-3); Bonacina et al. [2021](#page-18-1)).

A distinguishing feature of OAEs is that they are characterized globally by exceptionally high rates of organic carbon burial in sediments ranging from deep-ocean to shallow water marginal seas. Thus, they are conspicuously represented by sedimentary facies comprising organic rich, dominantly dark gray to black shale facies. Since the sediments are organic rich, it indicates either greater preservation of organic matter or increased rate of organic infux versus oxygen availability in seawater column (Arthur and Sageman [1994](#page-17-0) and references therein; Brumsack [2006](#page-19-0) and references therein). Their short time intervals show unusually increased rates of organic carbon burial (1–30%). Such sediments characteristic of anoxic events is favorable source rocks for hydrocarbon reserves. Researchers have shown that more than 50% of the known petroleum deposits worldwide comprise source rocks in sediments/ lithofacies deposited during anoxic events (Arthur and Schlanger [1979](#page-18-2); Klemme and Ulmishek [1991](#page-22-4); Sageman [2006](#page-25-1) and references therein; Soua and Chihi [2014;](#page-25-2) Soua [2016;](#page-25-3) Pandey and Pathak [2016;](#page-24-0) Talbi et al. [2019](#page-26-1) and references therein Kassem et al. [2020;](#page-22-5) Wentong He et al. [2021](#page-21-4) and many more). Sageman ([2006](#page-25-1)) documented that these

<span id="page-1-0"></span>**Fig. 1** An illustration as shown by Percival et al.  $(2015)$  $(2015)$ , wherein temporal correlation of LIPs with OAEs and/or extinction events has been shown during Phanerozoic history of Earth. Symbols key: arrow represents LIP, flled circle represents OAE, bold cross represents major mass extinction and normal cross represents minor mass extinction (for details refer to Percival et al. [2015](#page-24-1) and references therein)



<span id="page-2-0"></span>



black shale deposits store quantities of carbon sufficient to source all of the petroleum and natural gas that humans have thus far consumed or will ever produce. Further, Soua and Chihi ([2014](#page-25-2)) described a new method for exploration procedure which is based on the knowledge of OAEs distribution maps so as to identify main source rocks existence to improve understanding of new petroleum systems.

The OAEs are restricted to certain geologic time periods (Fig. [1](#page-1-0)). Both global and regional OAEs have been recognized by a number of researchers to specifc chronostratigraphic intervals. Amongst all, the most commonly discussed OAEs fall during the Mesozoic Era, particularly comprising the Jurassic-Cretaceous span (Jenkyns [2010](#page-21-1) and Arora et al. [2015;](#page-17-1) Fig. [2](#page-3-0)). Buggisch ([1991a\)](#page-19-1) documented in detail such OAEs during the Paleozoic Era which in particular falls during the Devonian Period. Jenkyns ([2010\)](#page-21-1) established that the PETM at the Paleocene-Eocene boundary (i.e., ~55 Ma), perhaps comprises the last OAE of the Phanerozoic Eon (Fig. [2](#page-3-0)).

The major OAEs have been studied extensively in parts of Europe, Africa, USA, Argentina, SE China, Japan (Tsikos et al. [2004](#page-26-2); Hu et al. [2014](#page-21-5); Millán et al. [2014;](#page-23-3) Richiano [2014;](#page-24-2) Soua [2014;](#page-25-4) Percival et al. [2015,](#page-24-1) [2020](#page-24-3); Boulila and Hinnov [2017](#page-19-2); Jenkyns et al. [2017](#page-22-0); Valle et al. [2019](#page-26-3); Kemp et al. [2020](#page-22-3); Joo et al. [2020](#page-22-6); Bonacina et al. [2021](#page-18-1); Table [1](#page-2-0); Fig. [3\)](#page-4-0). In India, the Mesozoic of Spiti valley comprising Jurassic-Cretaceous succession need better investigations for OAEs (Arora et al. [2015](#page-17-1)) compared to similar chronostratigraphic equivalents in the Kutch (Arora et al. [2015](#page-17-1); Biswas [1977\)](#page-18-3), Narmada basin (Keller et al. [2021](#page-22-7)), and Cauvery basins (Sundaram et al. [2001;](#page-25-5) Krishna [2017](#page-22-8); Nagendra and Reddy [2017](#page-23-4); Bansal et al. [2019](#page-18-4)). On the contrary, detailed studies for investigating such global and/or regional OAEs are meager in the Paleozoic (i.e., Devonian) and early



<span id="page-3-0"></span>**Fig. 2** Various oceanic anoxic events during the Mesozoic and Cenozoic times in the geological history of Earth as compiled by Jenkyns ([2010\)](#page-21-1)

Cenozoic (i.e., Paleocene-Eocene) lithostratigraphic units of the Indian part of geology irrespective of reports of these coeval events in other parts of the world (Jenkyns [1999,](#page-21-9) [2010;](#page-21-1) Leckie et al. [2002;](#page-23-2) Erba, [2004](#page-20-6), [b\)](#page-20-6). Thus, compared to the plethora of such geological studies in other parts of the world, the Indian part lacks sufficient studies, thereby requiring investigation of coeval, globally established OAEs.

The major objective of this study is the comprehensive compilation of well-recorded global OAEs to understand the possibility of occurrence of an anoxic event during deposition of earliest Himalayan foreland basin sediments (i.e., fnal closure of Tethys Sea during Paleocene-Eocene) coeval with PETM. An overall compilation of various documented factors which specifcally led to well-established global OAEs in different times has been briefly discussed for a holistic appraisal of possibilities of any record of these events in the NW Himalaya (India) during PETM. This review article wherein temporal and spatial scale compilation of past oceanic anoxic events and factors responsible for this will further allow us to think toward the role of local to regional/global factors responsible for anoxic conditions. Such reviews are critical since numerous geological records show close association of global scale OAEs with mass extinctions and the recent time oxygen-deficient conditions in the marine system require the need to explore past such events. As an example, Hennekam et al. ([2020](#page-21-2)) suggested the signifcance to search for statistical indicators/ early-warning signals that can be probed for the future transition of a marine system into fast deoxygenation. They demonstrated this by analyzing past widespread anoxic events from sedimentary archives in the eastern Mediterranean Sea, wherein regular rapid oxic-to-anoxic transitions occurred on (multi) centennial time scales.

# **Characteristics of oceanic anoxic events (OAEs)**

### **Sedimentary characteristics**

Sedimentologically, the OAEs are strongly characterized by the presence of gray, dark green to black colored, fne sediments commonly shale facies as has been documented by a signifcant number of researchers and comprise alternating typical transgressive and regressive sedimentary facies. The sediments are commonly organic rich and laminated. The other common sedimentary features observed within this clastic-dominated sedimentary interval are current ripple and hummocky cross stratifcations (Krencker et al., [2019](#page-22-15)).

The presence of organic carbon is considered to be the basic material required for the occurrence of oceanic anoxic events as demonstrated by Tsikos et al. ([2004\)](#page-26-2) in the evident Cenomanian-Turonian OAE outcrop located near Gubbio in the Marche-Umbrain Apennine of central Italy. The early Toarcian transgression (T-OAE) is marked by the occurrence of organic-rich shale in large parts of Western Europe as well as in other parts of the world (Sabatino et al. [2009](#page-24-5)). For example, the widespread occurrence of early Toarcian shale has been interpreted to be deposited during the global OAE, particularly on evidence of positive stable carbon isotope excursions from pelagic limestone sediments in several Tethyan studied sections (Jenkyns [1988;](#page-21-10) Jenkyns and Clayton [1997](#page-21-11); Jenkyns, [1999,](#page-21-9) [2010](#page-21-1), [2017](#page-22-0)). The rapid burial of the large amount of organic carbon which is rich in carbon-12 would have led to a relative enrichment in  $\delta^{13}$ C of the global carbon reservoir and hence an increase in  $\delta^{13}$ C of limestone.



<span id="page-4-0"></span>**Fig. 3** Representation of various OAEs in the world as documented by researchers. PALAEOZOIC: 1. English river Formation, Illinois, US (Heath et al. [2021](#page-21-8)); 2. Australia (Buggisch, [1991a\)](#page-19-1); 3. NW Canada (Kabanov [2019\)](#page-22-14); 4. South Australia (Langsford [2020\)](#page-23-13); 5. Nevada, USA (Cheng et al. [2020\)](#page-19-6). MESOZOIC: 1. Sakuraguchi- dani section, Japan (Kemp et al. [2020](#page-22-3)); 2. Yorkshire (French et al. [2014](#page-20-2)); 3. Yorkshire, Germany, Italy (Huang and Hesselbo [2014](#page-21-7)); 4. Toyora area, Japan (Izumi et al. [2012](#page-21-6)); 5. Andean Basin (Chile), South-America (Fantasia et al. [2018\)](#page-20-3); 6. Lusitanian basin, Portugal (Suan et al. [2008;](#page-25-6) Duque-Botero et al. [2009\)](#page-20-5); 7. Japan (Gröcke et al., [2011\)](#page-20-1); 8. Transdanubian range, Hungary (Fozy et al., [2010\)](#page-20-4); 9. Vocontian Basin, SE France (Duchamp-Alphonse et al. [2011](#page-19-3)); 10. Beltic Cordillera, SE Spain (Moiroud et al. [2012](#page-23-6)); 11. Italy (Li et al. [2008;](#page-23-9) Tsiko et al. [2004](#page-26-2)); 12. North Spain (Najarro et al. [2011\)](#page-23-5); 13. South central, Spain (Sanchez-Hernandez and Florentin, [2016\)](#page-25-7); 14. Southern Alps, New Zealand (Bottini et al., [2012\)](#page-19-4); 15. Mughal kot section, Pakistan (Khan

The three major OAEs in the Mesozoic have been studied in detail (Jenkyns [1999](#page-21-9), Fig. [2\)](#page-3-0) and are marked by similar sedimentary facies at the global scale, comprising pelagic black shales confned within a biostratigraphic interval of 1Ma or less (Schlanger and Jenkyns [1976;](#page-25-0) Weissert [1989](#page-23-14); Jenkyns and Clayton [1997](#page-21-11); Jenkyns, [1999](#page-21-9), [2010](#page-21-1) and many more). However, OAEs can show varied sedimentary facies record at local scales, having sediments completely lacking organic matter indicating that the favorable condition for the same could be simply increased organic productivity by whatever means (Jenkyns [2010](#page-21-1) and references therein).

et al. [2021](#page-22-11)); 16. Southeast France (Kuypers et al., [2002;](#page-23-7) Owens et al. [2017](#page-24-4); Jenkyns [2018\)](#page-21-3); 17. Central Italy; 18. Eastern Tethys, Southern Tibet, China (Li et al. [2016\)](#page-23-8); 19. North-eastern Brazilian basins, Brazil (Bastos et al. [2020](#page-18-0)); 20. Greece (Karakitsios et al. [2010\)](#page-22-12); 21. Central Italy (Matsumoto et al. [2020\)](#page-23-10); 22. Italy and Spain (Mort et al. [2007](#page-23-11)); 23. Tarfaya Basin, Morocco (Kellar et al. [2008](#page-22-13); Sachse et al. [2012](#page-25-8)); 24. Southern Tibet (Wang et al. [2001\)](#page-26-5); 25. Central Gulf of Surez, Egypt (Kassem et al. [2020\)](#page-22-5); 26. Tibet (Bomou et al. [2013](#page-18-6)); 27. Wunstorf section, North Germany (Voigt et al. [2008\)](#page-26-6); 28. Axel Heiberg Island, Canada (Lenniger et al. [2014\)](#page-23-12); 29. Gulneri Formation, Northern Iraq (Al-Sagri, [2015\)](#page-17-2); 30. Loma Chumico Formation, N-Costa Rica (Andjic et al. [2018\)](#page-17-3); 31. Tunisia, Africa. CENOZOIC: 1. Columbia River Basalt (Kidder and Worsley [2010\)](#page-22-9); 2. Ethiopian Highlands (Kidder and Worsley [2010](#page-22-9)); 3. Kerch Peninsula, Ukraine (Kokh et al. [2021](#page-22-10))

Likewise, though a number of OAEs have been reported from the Cretaceous successions of the Mesozoic, only one such defnitive global OAE has been reported from the Early Jurassic Period of the Mesozoic Era which is named as Toarcian OAE, i.e., T-OAE (Schlanger and Jenkyns [1976](#page-25-0); Coccioni et al. [1987;](#page-19-7) Jenkyns [1988,](#page-21-10) [2010;](#page-21-1) Hesselbo et al. [2000,](#page-21-12) [2007](#page-21-13); Leckie et al. [2002](#page-23-2); Baudin [2005](#page-18-7); Cohen et al. [2007](#page-19-8); Pearce et al. [2008;](#page-24-6) Nozaki et al. [2012;](#page-24-7) Satpathy et al. [2013](#page-25-9); Arora et al. [2015](#page-17-1) and references therein). In general, the sedimentary record of the most common global T-OAE is characterized by organic-rich sediments, dominantly black shale associated with a distinctive negative excursion in  $\delta^{13}C$ 

values as has been observed in various early Jurassic dated sections (Jenkyns and Clayton [1997](#page-21-11); Cohen et al. [2004](#page-19-9); Hesselbo et al. [2007;](#page-21-13) Suan et al., [2008](#page-25-6); Hermoso et al. [2009](#page-21-14); Bodin et al. [2010](#page-18-8)).

In recent years, much has been done to improve our understanding of the black shale deposition in a sedimentary environment. The nature of black shale also depends upon the basin evolution and paleogeography. The spatial and temporal distribution of black shale is linked to the development of the environment in which they accumulate and to the propitious combination of environment variables. Various researchers have shown that the deposition of black shales associated with OAEs varies from shallow to deep marine environments. Presence of such abundant black shales at the global scale and restricted within short span chronostratigraphic intervals have been documented largely from the Mesozoic strata by a wide array of researchers (Jenkyns [2010,](#page-21-1) [2018](#page-21-3) and references therein; Nozaki et al. [2012](#page-24-7), Phelps et al. [2015](#page-24-8); Boulila and Hinnov [2017;](#page-19-2) Jenkyns et al. [2017](#page-22-0); Joo et al. [2020](#page-22-6); Kemp et al. [2020;](#page-22-3) Uveges et al. [2020](#page-26-7); Bonacina et al. [2021](#page-18-1) and references therein).

#### **Geochemical characteristics**

The geochemical characteristics can be interpreted by TOC value, hydrogen index (HI), oxygen index (OI), biomarkers (derived from diferent strains of green Sulfur bacteria), major and trace elements. Besides the carbon stable isotope signatures, oxygen and nitrogen isotope ratios as well as Mg/ Ca values show specifc variation/trend during these OAE. For example, Higgins et al. ([2012](#page-21-15)) demonstrated that the OAE 2 shales of the Mesozoic Era was characterized by low nitrogen isotope ratio, values varying between −4 and 2 ‰ than the modern marine setting shales and thus can be used as a geochemical indicator of the occurrence of OAEs during Mesozoic. This could be because of the development of oxygen minimum zones during Cenomanian–Turonian, Aptian, and T-OAE which ultimately led to the total depletion of nitrogen (Ohkouchi et al. [1997](#page-24-9), [2006;](#page-24-10) Farrimond et al. [2004;](#page-20-7) Kuypers et al. [2004;](#page-23-15) Dumitrescu and Brassell [2006](#page-20-8), Jenkyns, [2010\)](#page-21-1).

Phosphorus element variation in marine sediments has also been documented as an indicator of OAEs. Most of the phosphorus that reaches the seafoor arrives in the form of organic matter accumulations. Phosphorus (P) in buried in three main forms namely, organic-P, Ca-P, and Fe-P. It has been demonstrated that under anoxic bottom water the burial of organic-P and Fe-P is suppressed (Ingall et al. [1993](#page-21-16); Van Cappellen and Ingall [1994;](#page-26-8) Colman et al. [1997;](#page-19-10) Colman and Holland [2000](#page-19-11)). The ratio of carbon (C) and phosphorus (P) is buried organic matter (C/P) organic is equivalent to 4000 for laminated black shale deposited under anoxic conditions, but only around 150 for bioturbated shale deposited under oxic conditions (Ingall et al. [1993\)](#page-21-16). The evidence in these intervals of C/T OAE 2 suggests its global nature. Thus, to conclude there is a decline in the efficiency of phosphorus during anoxic conditions and vice versa. The recycling of phosphorus from the bottom water further enhances the planktonic activity (Van Cappellen and Ingall [1994](#page-26-8), Mort et al. [2007](#page-23-11); Jenkyns, [2010;](#page-21-1) Beil et al. [2020;](#page-18-9) Percival et al. [2020](#page-24-3)). Increased TOC/ $P_{TOT}$  ratio in the Frasnian-Famennian lower and upper Famennian Annulata and Hangenber level suggest that such nutrient recycling occurred across the area of the marine shelf in Laurentia and Rheic ocean margin during those times which helped to sustain reducing conditions in those environments. Elevated  $P_{TOT}$  values in the upper Kellwasser, Annulata, and Hagerberg levels are consistent with an enhanced nutrient infux as the initial trigger for Anoxia (Percival et al. [2020;](#page-24-3) S.beil et al. [2020\)](#page-18-9).

Trace elements V, U, Ni, and Mo are sensitive to the redox environment and their ratios (Arthur and Sageman [1994](#page-17-0); Morford and Emerson [1999](#page-23-16); Fleurance et al. [2013](#page-20-9)). For example, U/Th, V/Cr, Ni/Co, and  $V/V + Ni$  have been used for the interpretation of paleo-redox conditions (Algeo and Maynard [2004](#page-17-4); Pi et al. [2013](#page-24-11)). Their values are used to distinguish oxic, dysoxic, and anoxic grades. However, their ratios do not show good correlations with TOC content because oxic and dysoxic conditions are unfavorable for organic matter preservations. The geochemical interpretations from ancient black shale are often complicated because of the post-depositional modifcations by deep burial processes. Nevertheless, geochemical studies of black shale sedimentary successions are significant to understand the cause and consequence of enhanced organic matter burial.

Decades of research has been carried out on stable carbon isotope ratios in order to understand any trend in its variation due to sudden perturbations of the carbon cycle during OAE (e.g., Arthur et al. [1985](#page-18-10); Pratt [1985;](#page-24-12) Arthur et al. [1987;](#page-18-11) Stoll and Schrag [2000](#page-25-10); Tsikos et al. [2004;](#page-26-2) Erbacher et al. [2005](#page-20-10); Gale et al. [2005](#page-20-11); Jarvis et al. [2006;](#page-21-17) Li et al. [2006;](#page-23-17) Sageman et al. [2006;](#page-25-1) Wendler et al. [2009](#page-26-9); Barclay et al. [2010;](#page-18-12) Takashima et al. [2011;](#page-25-11) Jarvis et al. [2011](#page-21-18); Eldrett et al. [2014](#page-20-12); Joo and Sageman [2014](#page-22-16); Jenkyns et al. [2017](#page-22-0)). In general, such isotopic data can be used as a multi-proxy geochemical tool, as a proxy for reconstructing changes in the mass balance of the global carbon cycle, and as a chronostratigraphic tool. Adopting  $\delta^{13}$ C datasets as a means of tracking changes in the carbon cycle, changing atmospheric CO2 levels and climate, previous studies by Freeman and Hayes ([1992](#page-20-13)), Hasegawa ([2003](#page-20-14)), Jarvis et al. ([2011](#page-21-18)), and others, during the Late Cenomanian compared stable carbon isotope composition of carbonates with marine organic matter and/or terrestrial woody material because the enormous increase in carbon burial is expected during an OAE. The carbon isotope signatures of early Albian, early Aptian, and early Toarcian are confusing because the sediments show

both negative and positive isotope excursions (Jenkyns and Clayton [1986,](#page-20-15) [1997](#page-21-11); Menegatti et al. [1998](#page-23-18); Schouten et al. [2000](#page-25-12); Jenkyns [2003](#page-21-19); Herrle et al., [2004](#page-21-20)). In the C/T OAE 2, all sections demonstrate a subdued negative shift within the broad positive carbon isotope excursion which is particularly pronounced in the organic carbon isotope record at the base of the Livello Bonarelli section where a fall of 3‰ has been observed (Kuroda et al., [2007\)](#page-22-17). Thus, addition of some isotopically light carbon isotope happened into the ocean–atmosphere system during the C/T OAE. Researchers documented that the possible reasons for this could have been volcanism or dissociation of gas hydrates or thermal metamorphism of coal either alone or in combination (Hesselbo et al. [2000;](#page-21-12) Jahren et al. [2001;](#page-21-21) Jenkyns [2003](#page-21-19); Jenkyns, [2010;](#page-21-1) McElwain et al. [2005](#page-23-19); Kuroda et al., [2007\)](#page-22-17).

Stable oxygen isotope studies from the bulk and skeleton samples corroborate its connection with the relative thermal maxima. In Cenomanian-Turonian, the oxygen isotopic data from the north European American chalk and well-preserved brachiopods suggests a rise in temperature of 6–7 °C during late Cenomanian and mid-Turonian (Jenkyns, [2010](#page-21-1); Voigt et al. [2006](#page-26-10)). The oxygen isotope data from the well-preserved foraminifera in the equatorial Atlantic show temperature fuctuations of 4 °C during C/TOAE (Forster et al. [2007\)](#page-20-16). Likewise, such a trend has been documented in the Albian Paquier black shale at its type locality where the temperature rose up to 8  $^{\circ}$ C (Herrle et al. [2003](#page-21-22)). The oxygen isotope data from deep-sea drilling cores similarly suggest abrupt warming intervals represented by the base of the black shale which was followed by cooling intervals (Erbacher et al. [2001](#page-20-17)). Therefore, various compilations indicated that during Toarcian oxygen-18 isotope had minimal value and temperature records have been around 6–7 °C (Bailey et al. [2003](#page-18-13)).

#### **Spatial and temporal distribution**

Early in the 1970s, multiple organic-rich sediments, dominantly of black shales have been identifed during the execution of Deep Sea Drilling Projects (DSDP) on Tethyan Mesozoic deep-sea sediments to the North Atlantic Ocean (Bernoulli [1972\)](#page-18-14). Later Schlanger and Jenkyns [\(1976](#page-25-0)) frst identifed the worldwide occurrence of similar black shales in diferent sedimentary basins which could have been possible due to the occurrence of synchronous global events. It was further observed that these organic-rich sediments were confned to short intervals of time. This led Schlanger and Jenkyns [\(1976\)](#page-25-0) to frst coin the term OAE which has been defned as short-spanned episodes in the Earth's history, characterized by the presence of organic-rich sediments deposited under dysoxic to anoxic conditions.

Within a short span of time, researchers identifed a number of these anoxic events in the Earth's geological history in diferent parts of the world, thereby resulting in signifcant advances in felds of palaeoclimatology, palaeoecology, palaeoceanography, palaeontology, and sedimentology (Table [1](#page-2-0); Fig. [3;](#page-4-0) Arthur and Schlanger [1979;](#page-18-2) Demaison and Moore [1980](#page-19-12); Jenkyns [1980,](#page-21-23) [1988;](#page-21-10) Biswas [1981;](#page-18-15) Arthur et al. [1990;](#page-18-16)Buggisch, [1991a](#page-19-1); Arthur and Sageman, [1994,](#page-17-0) [2005](#page-18-17); Bhargava and Bassi [1998](#page-18-18); Srikantia and Bhargava [1998](#page-25-13); Jenkyns [1999,](#page-21-9) [2003,](#page-21-19) [2010,](#page-21-1) [2017](#page-22-0); Krishna et al. [2000](#page-22-18); Bottjer et al. [2001;](#page-19-13) Huber et al. [2002;](#page-21-24) Leckie et al. [2002](#page-23-2); Erba et al., [2004;](#page-20-18) Tsikos et al. [2004](#page-26-2); Bertle and Suttner [2005](#page-18-19); Takashima et al. [2006;](#page-25-14) Banerjee et al. [2006](#page-18-20); Hesselbo et al. [2007;](#page-21-13) Al-Suwaidi et al. [2010](#page-22-18); Najarro et al. [2011;](#page-23-5) Pathak et al. [2011;](#page-24-13) Bottini and Mutterlose [2012;](#page-19-14) Lehmann et al. [2012](#page-23-20); Pandey et al. [2013](#page-24-14); Hu et al. [2014;](#page-21-5) Millán et al., [2014](#page-23-3); Richiano [2014](#page-24-2); Soua [2014](#page-25-4); Godet et al. [2014;](#page-20-19) Arora et al. [2015](#page-17-1); Percival et al. [2015](#page-24-1), [2020](#page-24-3); Boulila and Hinnov [2017](#page-19-2); Jenkyns et al. [2017;](#page-22-0) Joo et al. [2020](#page-22-6); Bastos et al. [2020](#page-18-0); Kavanov et al. [2020;](#page-22-1) Kemp et al. [2020](#page-22-3); Kuroyanagi et al., [2020](#page-22-2); Hennekam et al. [2020\)](#page-21-2).

The Phanerozoic history of the Earth is marked by periodic OAE (Figs. [1](#page-1-0) and [2](#page-3-0); Large et al. [2015](#page-23-21); Sahoo et al. [2016](#page-25-15)), followed by an outbreak in planktonic bio-productivity and extinction of more advanced animal and plant groups (Barash [2012;](#page-18-21) Wang et al. [2015](#page-26-11)). Such activity resulted in the accumulation of sediments rich in sulfur and organic matter (Khain and Polyakova [2010\)](#page-22-19). There are many known and suspected Phanerozoic oceanic anoxic events which are tied geologically to large-scale production of oil reserves in worldwide bands of black shale in the geological record (Trabucho-Alexandre et al. [2012](#page-26-12)).

#### **The Paleozoic era oceanic anoxic events**

Researchers have documented that oceans were more oxic during early Paleozoic times (Canfeld et al., [2008](#page-19-15); Poulton and Canfeld [2011;](#page-24-15) Jin et al. [2014](#page-22-20); Sperling et al. [2015](#page-25-16); Wang et al., [2019a\)](#page-26-13). Nevertheless, many researchers identifed ocean anoxia during this time on the basis of geochemical evidences (Poulton and Canfeld [2011](#page-24-15); Canfeld et al., [2008a](#page-19-15)nd references therein). The time boundary between the Ordovician and Silurian Periods (i.e., ~443.4 Ma) is marked by repetitive intervals of anoxia, interspersed with normal oxic conditions whereas anoxic conditions were prevalent during the Silurian time (Bartlett et al. [2018\)](#page-18-22). The Ordovician and Silurian boundary time anoxic intervals occurred at a time of low global temperatures (although  $CO<sub>2</sub>$  levels were high), in the midst of glaciations. This anoxia is believed to have made major perturbation in ocean chemistry. The Early Paleozoic, marking the oldest recognized Paleozoic OAE, is coeval with the Cambrian explosion of life.

#### **The Mesozoic era oceanic anoxic events**

In the Mesozoic, the entire ocean basin transiently became dysoxic or anoxic. During the Cretaceous, ocean anoxic events were characterized by laminated organic-rich shale and low oxygen due to the preservation of trace fossils in the sedimentary record. Various researchers have proposed many OAEs and a number of responsible factors, which occurred alone or in combination during the Mesozoic Era. Silter (1989) demonstrated that during OAEs in this Era, oxygen minimum zones prevailed immediately below the euphotic zone (Wyrtki [1962\)](#page-26-14), which occurred due to oxidation of settling organic material. Beyond the oxygen minimum zone, the preservation of organic material may have been favored by settling rates that are too rapid for the complete oxidation of organic material, and that can be caused by incorporation of organic material in fecal pellets or by aggregation with mineral particles (Tourtelot [1979;](#page-26-15) Hedges and Keil [1995;](#page-21-25) Kennedy et al. [2002](#page-22-21); Turner [2002](#page-26-16)).

The three major Oceanic Anoxic Events identifed from the Mesozoic record are namely, the Early Toarcian, the Early Aptian, and the Cenomanian-Turonian boundary OAEs. These records are global and are in general characterized by abnormally high organic carbon-rich sediments and locally radiolarian silica. These OAEs are the subject of considerable scrutiny due to the extensive presence of organic carbon in their sediments and all are stratigraphically associated with positive or negative carbon isotope excursions (Scholle and Arthur [1980;](#page-25-17) Pratt and Threlkeld [1984](#page-24-16); Schlanger et al. [1987](#page-25-18); Gale et al. [1993\)](#page-20-20). The Early T-OAE occurred under rapid global warming conditions (Baily et al. [2003;](#page-18-13) Jenkyns [2003](#page-21-19)) accompanied by mass extinction events (Wignall et al. [2006](#page-26-17)) and enhanced organic carbon burial (Jenkyns [1988\)](#page-21-10). The Early Aptian is also known as Livello Selli in the type section of Italy are characterized by a pronounced negative carbon isotope excursion followed by a positive excursion in deep and shallow marine carbonate, marine matter, and terrestrial higher plants (Gröcke et al., [1999](#page-20-21); Jenkyns, [1999,](#page-21-9) [2003;](#page-21-19) Herrle et al., [2004](#page-21-20); Van Breugel et al. [2007](#page-26-18)). This pronounced negative carbon isotope excursion is perhaps the most distinctive feature of OAE 1a on a global scale and it coincides with the lowest stratigraphic level of the organic-rich shales themselves (Jenkyns [2010](#page-21-1)). Jones and Jenkyns ([2001\)](#page-22-22) discussed in detail the causes behind three well-documented OAEs in the Mesozoic Era which occurred in the early Jurassic, the early and late Cretaceous times.

Since the beginning, the OAEs have been commonly and globally identifed from the Mesozoic time span of Earth's geological history and Fig. [2](#page-3-0) shows the compilation of major OAEs during Mesozoic as illustrated by Jenkyns ([2010](#page-21-1)). According to Jenkyns [\(2010\)](#page-21-1), major Mesozoic OAEs include early Toarcian (Posidonienschiefer event, T-OAE, ~183 Ma), early Aptian (Selli event, OAE 1a, ~120 Ma), early Albian (Paquier event, OAE 1b, ~111 Ma), and Cenomanian-Turonian (Bonarelli event, C/ TOAE; OAE 2, ~93 Ma) OAEs. Jenkyns, [2010](#page-21-1), Fig. [2\)](#page-3-0) also documented some other OAEs in the Cretaceous, particularly those from Tethyan region: OAE1c (the record is patchy; Arthur et al. [1990;](#page-18-16) Tiraboschi et al. [2009](#page-26-19)), OAE 1d (late Albian Breistroffer event; Arthur et al. [1990\)](#page-18-16), early Cretaceous Valanginian Weissert event (Lini et al. [1992](#page-23-22); Erba et al., [2004;](#page-20-18) Brassell [2009](#page-19-16) and references therein), latest Hauterivian Faraoni event (Baudin [2005;](#page-18-7) Bodin et al. [2007\)](#page-18-23). Likewise, the late Coniacian to Santonian age OAE is represented as OAE 3 (Arthur et al. [1990](#page-18-16); Wagner et al. [2004\)](#page-26-20).

There had been considerable research focus on Mesozoic OAEs since several decades. The regions of sub-Mediterranean, sub-Borealand Boreal provinces of Europe have been extensively explored (Stoll and Schrag [2000](#page-25-10); Hesselbo et al. [2007](#page-21-13) and references therein; Tsiko et al. [2004](#page-26-2); Lehmann et al., [2012](#page-23-20); Najarro et al. [2011;](#page-23-5) Bottini and Mutterlose [2012;](#page-19-14) Millán et al., [2014;](#page-23-3) Boulila and Hinnov [2017](#page-19-2); Percival et al. [2020](#page-24-3) and many more). Amongst other Mesozoic OAE records have been documented from Argentina (Al-Suwaidi et al. [2010;](#page-17-5) Richiano [2014](#page-24-2) and references therein), southeastern China (Hu et al. [2014;](#page-21-5) Joo et al. [2020](#page-22-6) and references therein), northern margin of Africa (Soua [2014](#page-25-4); Godet et al. [2014](#page-20-19)), Asia (Li et al. [2006;](#page-23-17) Takashima et al. [2010](#page-25-19)), North America (Sageman et al. [2006;](#page-25-1) Elrick et al. [2009](#page-20-22)), and South America (Navarro-Ramirez et al. [2016\)](#page-24-17). The three major oceanic anoxic events identifed from the Mesozoic record namely early Toarcian, early Aptian, and Cenomanian–Turonian OAE have records of global distribution and are stratigraphically associated with positive carbon-isotope excursions as well as paleo-temperature maxima.

**Triassic Period** Widespread oceanic anoxia conditions at the beginning of the Triassic period have been reported on the basis of geochemical evidence, cerium anomalies, and carbon/sulfur ratios (Hallam [1994](#page-20-23)). This is also supported by the occurrence of extensive anaerobic and dysaerobic facies as well as the absence of reef and associated high diversity benthic communities. These have been further tested by sedimentary facies and sequence stratigraphic analysis of key sections across the Permian-Triassic boundary in the former Paleo-Tethys region in Italy, Pakistan, and China (Wingnall and Hallam [1992](#page-26-21); Knoll et al. [1996;](#page-22-23) Krull and Retallack [2000](#page-22-24); Becker et al. [2001;](#page-18-24) DeWit et al. [2002](#page-26-22); among others). Various results suggest that the youngest Permian faunas became extinct at the beginning of the Triassic period, as a consequence of the extensive spread of anoxic waters into epicontinental seas associated with then marine transgression.

**Jurassic Period** In the Jurassic period, one major OAE i.e. Toarcian oceanic anoxic event (~183 Ma) has been documented (Harries and Little [1999](#page-20-24); Bailey et al. [2003](#page-18-13); Cohen et al. [2004](#page-19-9)). The reason being no deep-sea drilling projects were undertaken because of the little or no Toarcian crust remaining in the world's oceans (Harries and Little [1999](#page-20-24); Jenkyns [1988\)](#page-21-10). The remains of Jurassic organic matter are found in many places like NE and central Tunisia (Soussi, [2003;](#page-25-20) El Asmi et al. [2003;](#page-20-25) Fauré and Peybernès, [1986](#page-20-15); Bonnerfous [1972\)](#page-18-14). This global anoxic event had been frst observed in Tunisia (Soussi et al. [1990](#page-25-21)), however, no signifcant work on such accumulations had been done since long. And this event in the Jurassic became a source of attention only in the last few years due to hydrocarbon exploration in the middle and high latitudes of the northern hemisphere, such as Western Europe and Russia (Soua [2014\)](#page-25-4). The boundary of Ordovician and Silurian is indeed marked by repetitive anoxia; however, detailed OAE studies are still lacking in the Tethyan realm.

**Cretaceous Period** Jenkyns ([2010\)](#page-21-1) compiled data and documented the presence of at least seven oceanic anoxic events during the Cretaceous period (Fig. [2](#page-3-0)). It is widely demonstrated that this period had a warm climate accompanied by greenhouse gases (Huber et al. [2002](#page-21-24); Littler et al. [2011](#page-23-23); Friedrich et al. [2012\)](#page-20-26). This warm climate is quantitatively evidenced by the presence of thermocline foras and faunas at higher latitudes during the Cretaceous time (Nathorst [1890](#page-24-18); Frakes et al. [1992;](#page-20-27) Frakes [1992;](#page-20-27) Tarduno et al. [1998](#page-26-23); Fig. [1\)](#page-1-0). The eustatic sea level during the Cretaceous was on average 75–250 m higher than present-day mean sea level (Miller et al. [2005;](#page-23-24) Macleod et al. [2013](#page-23-25)), though some evidence of small-scale glaciation during the early and late Cretaceous have been reported (Bornemann et al. [2008\)](#page-18-25). The proper understanding of the spatial, absolute, and temporal variation of temperature during Cretaceous time is vital to elucidate the exact nature of greenhouse gas forcing, climate sensitivity, and ocean circulation at that time (Friedrich et al. [2012](#page-20-26); Royer et al. [2012](#page-24-19); Wang et al. [2014](#page-26-24)).

The Cenomanian-Turonian is the most widespread and best-defned OAEs of mid-Cretaceous. Arthur and Schlanger [\(1979\)](#page-18-2) and Jenkyns ([1980\)](#page-21-23) have shown major fuctuations in carbon isotope near the Cenomanian-Turonian boundary. They related this fuctuation to changes in ocean circulations in the Atlantic Ocean, wherein an expanded oxygen minimum layer formed. During this time organic-rich sediments were deposited in a variety of paleobathymetric settings like ocean plateau and basin, continental margin, and shelf. The widespread depositional nature of these sediments indicates that they were not controlled by local basin geometry but were a product of global to regional oceanic anoxic conditions. This is further supported by the reports of marine transgression during the Cretaceous time, wherein both area and volume of shallow epicontinental and marginal seas were accompanied by an increase in the production of organic carbon.

According to Jenkyns ([2010](#page-21-1)), major OAEs include early Aptian (Selli event, OAE 1a, ~120 Ma), early Albian (Paquier event, OAE 1b, ~111 Ma), and Cenomanian-Turonian (Bonarelli event, C/TOAE 2, OAE ~93 Ma). Jenkyns ([2010](#page-21-1); Fig. [2](#page-3-0)) also documented some other OAEs in the Cretaceous, particularly those from the Tethyan region: OAE1c (the record is patchy; Arthur et al. [1990](#page-18-16); Tiraboschi et al. [2009](#page-26-19)), OAE 1d (late Albian Breistroffer event; Arthur et al. [1990](#page-18-16)), early Cretaceous Valanginian Weissert event (Lini et al. [1992](#page-23-22); Erba et al., [2004](#page-20-18); Brassell [2009](#page-19-16) and references therein), latest Hauterivian Faraoni event (Baudin [2005;](#page-18-7) Bodin et al. [2007](#page-18-23)). Likewise, the late Coniacian to Santonian age OAE is represented as OAE 3 (Arthur et al. [1990](#page-18-16); Wagner et al. [2004\)](#page-26-20).

#### **The Cenozoic era oceanic anoxic events**

The Paleocene-Eocene epoch of the Cenozoic remarkably share features similar to those of Palaeozoic and Mesozoic oceanic anoxic events. The PETM in the Cenozoic is the only identifed OAE in this Era (Kennett and Stott [1991](#page-22-25); Jenkyns [2010](#page-21-1) and references therein). Many researchers believe PETM to be the last OAE of the Phanerozoic; even those who do not consider it to be the last one, still believe it as one of the OAE due to many related common characteristics with other well established OAEs (Scharag and Higgins 2006; Jenkyns [2010](#page-21-1)). For example, Kennett and Stott ([1991](#page-22-25)) frst identifed PETM as OAE on the basis of an abrupt increase in global temperatures. Later a number of similarities with other OAEs, particularly with the early Toarcian OAE, have been demonstrated. In a manner similar to T-OAE, this OAE showed increased organic productivity concentration on the shelf regions rather than deep sea as well as a positive shift in sulfur isotope ratios and shift of osmium values toward more radiogenic values indicating increased continental weathering, increased carbonate compensation depth and also evidence of coeval North Atlantic Large Igneous Province event (Speijer and Wagner [2002;](#page-25-22) Cohen et al. [2004,](#page-19-9) [2007](#page-19-8); Sluijs et al. [2008](#page-25-23); Zeebe et al. [2009;](#page-26-25) Jenkyns [2010](#page-21-1); Bottini et al., [2012;](#page-19-4) Du Vivier et al., [2014;](#page-19-17) Jenkyns et al. [2017](#page-22-0)). According to Jenkyns ([2010](#page-21-1)), the PETM did not develop into full- blown OAE because climatic forces were probably not of sufficient intensity to fertilize extensive area of the ocean and paleogeography was not conducive for water column stratifcation except in the Arctic Ocean.

### **Research related to OAEs in India**

Signifcant research has been carried out on OAEs in different parts of the world. However, they are not as widely investigated in India when compared to the other parts of the world. Table [2](#page-9-0) shows a compilation of possible OAEs in major geological formations of India which are correlatable with globally reported OAEs. In India, early Aptian 1a in the Giumal Formation of early Cretaceous and probably an early Albian OAE 1b, late Albian OAE 1c, and 1d, latest Cenomanian/Santonian OAEs in the Chikkim Formation have been documented Bertle and Suttner [2005;](#page-18-19) Bhargava [2008](#page-18-26); Pathak et al. [2011](#page-24-13)). Similar to OAE 1a, another location is a Ukra member in the Umia formation of Kutch (Pandey and Pathak [2016](#page-24-0)). The globally important and equivalent anoxic events like OAE-1b, OAE 1d, OAE-2, and OAE-3 have been identifed in the Narmada and Cauvery basins of India (Cronin et al. [2010](#page-19-18); Nagendra and Reddy [2017](#page-23-4); Bansal et al. [2019](#page-18-4); Keller et al., [2021\)](#page-22-7).

The Cretaceous anoxic events in the Indian part of geology are represented by black shales having pyritic nodules and fossil wood found in the late Cretaceous Mahadeo formation (Lokhu and Tiwari [2011\)](#page-23-26). Krishna ([1983\)](#page-22-26) documented ammonoid-rich, green shales of Umia formation (Kutch) of the early Aptian age as a suitable site of OAE. The green color of shale is probably because of its deposition in the extreme marginal location of the Kutch basin. The Jhuran Formation of Kutch is considered equivalent to Selli oceanic anoxic event (Krishna [1983\)](#page-22-26). The ammonoid-rich Spiti and Guimal formations (Bhargava [2008](#page-18-26)) are also suitable OAE representatives. The Spiti formation unit is about 210 m thick and is part of a folded sequence of argillaceous lithology comprising black splintery shale and limestone (Krishna et al. [1983\)](#page-22-26). Black to gray-green sediments in Jurassic-Cretaceous of Spiti valley can be correlated with globally well-known oceanic anoxic events. Black shales of the early Himalayan foreland basin sediments which coincide with the India-Eurasia collision and PETM may represent the last global OAE (Jenkyns [2010](#page-21-1)). Sundaram et al. ([2001](#page-25-5)) demonstrated that the Albian to Maastrichtian age (i.e., early to late Cretaceous) marine sediments in the Cauvery basin which have ammonoid bearing horizons and black shales indicate some similarity to global OAEs ranging from OAE 1a to OAE 3 (as compiled by Jenkyns [2010](#page-21-1)).

Arora et al. [\(2015\)](#page-17-1) demonstrated the possibility of OAE in the Mesozoic geologic history of the Kutch basin (India) in a manner similar to the globally established OAEs during that time. They carried out detailed sedimentary facies and organic geochemical studies of the Jurassic Jhuran Formation of Kutch. The Jhuran Formation has organic-rich, dominantly black shales which are alternating with thin to thickly bedded siltstone with minor sandstones. In the studied middle Member of the Formation, they identifed fve

<span id="page-9-0"></span>**Table 2** Globally identifed OAEs and their equivalents in India

Era Period Epoch World India

Mesozoic (250–65 Ma) Jurassic Toarcian event (183 Ma) Juran Formation of Kutch<sup>1</sup> Posivonienschiefer event (182.7 Ma) Kioto Formation (Tangling Group) of Spiti<sup>2</sup> Cretaceous Lower Aptian: mid-Aptian extinction event 116 ± 7Ma Cretaceous organic rich shale of Cauvery basin (OAE-1b, OAE-1d, OAE-2, OAE- $(3)^3$ Paquier event (112 Ma) Terani Formation OAE-1b in the Cauvery basin4 Breistrofer event (99.6 Ma) Late Albian–Cenomanian OAE-1d in western margin of Cauvery basin<sup>5</sup> Cretaceous Upper Cenomanian–Turonian boundary event: black shale deposition in ocean 91.5  $\pm$ 8.6 Ma Chikkim Formation in the Spiti area<sup>2</sup> Bonarelli event (93.6 Ma) Late Cenomanian to Early Turonian OAE-2 in the Cauvery basin<sup>5</sup> Late Cenomanian to Early Turonian in western part of Narmada basin  $(M.P.)^6$ Coniacian–Santonian OAE-3 in the Cauvery basin<sup>5</sup> Cenozoic (65 Ma) Tertiary Paleocene Eocene Thermal maximum ~55.8 Ma Cambay basin<sup>7</sup> Early Himalayan Foreland Basin sediments

<sup>1</sup> Arora et al. (2015); <sup>2</sup>Pandey and Pathak (2016); <sup>3</sup>Nagendra and Reddy (2017); <sup>4</sup>Nallapa et al. (2013); <sup>5</sup>Cronin et al. (2010); <sup>6</sup>Keller et al. [\(2021](#page-22-27)); <sup>7</sup>Samanta et al. (2012)  $3$ Samanta et al. (2012)

litho-facies namely, facies A: Black shale, facies B: Black shale inter-bedded with siltstone, facies C: alternations of shale and siltstone with minor sandstones, facies D: alternations of siltstone-sandstone, and facies E: plane laminated and hummocky cross-stratifed sandstone. Besides lithofacies analysis, geochemical investigations that have been carried out include TOC, OI, HI,  $T_{\text{max}}$ , and trace element analysis. It has been documented by them on the basis of their analyses that the black shales of facies A and B could be possible indicators of oceanic minimum zones (OMZ) which had been extended to shallow seas due to a rise in global sea level during the formation of OAE. Also, calculated average values of Ni/Co and  $V/(V + Ni)$  ratios are, respectively, 2.5 and 0.82, and 7–20-μ size of pyrite framboids, indicating the prevalence of intermittent anoxic and sulfdic conditions during the deposition of these sediments. Finally, Arora et al. [\(2015\)](#page-17-1) concluded that the black shales of the Jhuran Formation possibly carry signatures of late Jurassic OAE and further require detailed studies.

In the Indian part, other most important globally defned anoxic events viz. OAE-1b, OAE-1d, OAE-2, and OAE-3 are fairly identifable in the Cauvery basin of the southern part of India (Cronin et al. [2010](#page-19-18); Nagendra and Reddy [2017\)](#page-23-4). Nagendra and Reddy ([2017](#page-23-4)) demonstrated major transgressive episodes in the Aptian-Paleocene sediments exposed in the Ariyalur district of the Cauvery basin. This led to oxygen-depleted conditions during late Aptian-early Albian (OAE 1b), late Albian (OAE 1d), and late Cenomanian-early Turonian (OAE 2) times, therein resulting in deposition of organic-rich sediments. Nagendra and Reddy ([2017](#page-23-4)) further suggested the prevalence of anoxic conditions during Coniacian-Santonian (OAE 3) and Campanian age sediments in this region on the basis of some evidence. These all correlate well with the local peaks of transgressive cycles (Nagendra and Reddy [2017](#page-23-4) and references therein). Very recently, Keller et al. ([2021](#page-22-27)) worked in the Narmada basin in India and studied in detail OAE 2 on the basis of detailed investigations in two outcrops from Bagh Formation sediments in the Gujarat state of India. Their major investigations focused on biostratigraphy, *δ*18O records, and carbon isotopes for identifcation of the Cenomanian-Turonian OAE as well as the potential infuence of LIP on the basis of mercury concentration in the sediments. Keller et al. ([2021\)](#page-22-27) on the basis of their results revealed that in the western Narmada basin, the onset of OAE2  $\delta^{13}$ C excursion during late Cenomanian coeval with the sea-level transgression and the age of transgression is well correlatable with the Pueblo, Colorado, Global Section, and Stratotype Point (GSSP), and the eastern Sinai Wadi El Ghaib section of Egypt.

# **Major factors documented for formation of OAEs**

#### **LIPs/volcanic eruptions**

The Earth's geological history witnessed the emplacement of enormous igneous material into the continental and oceanic crust and these are known as LIPs. It is well established that these igneous emplacements and/or volcanic eruptions cause signifcant severe to catastrophic efects on climate and environment (Wignall [2005](#page-26-26) and references therein, Percival et al. [2015\)](#page-24-1). For example, Wignall ([2005\)](#page-26-26) illustrated through a flow chart (Supplementary Fig.  $1$ ) that how the LIP eruptions bring changes in major biogeochemical processes related to Earth's environment and climate. Rapid global warming, oceanic anoxia, or increased oceanic fertilization or both, calcifcation crises, mass extinctions, a sharp decrease in  $\delta^{13}$ C values recorded in limestones, are some of the major environmental and climate effects due to LIP eruptions as compiled by Wignall ([2005](#page-26-26)). A number of researchers have documented temporal correlation of LIPs with OAEs (Fig. [1\)](#page-1-0) and this correlation is best demonstrated for these events in the Mesozoic Era. Percival et al. ([2015](#page-24-1)) compiled a temporal correlation of various volcanic/LIP events and OAEs as well as mass extinction events during the Phanerozoic Eon (Fig. [1](#page-1-0)). They demonstrated that such correlation was limited during the Palaeozoic Era because Palaeozoic provinces are less likely to be preserved whereas radiometric ages of various Mesozoic LIPs coincide with dates of OAEs during Mesozoic Era. The temporal correlation between LIPs and OAEs are again limited during Cenozoic Era because Cenozoic provinces were emplaced in an icehouse climate, wherein it is less likely to accelerate regional anoxic conditions (Percival et al. [2015\)](#page-24-1).

Large scale volcanism is reliably considered to be one of the reasons behind the oceanic anoxic events due to the enormous release of a large quantity of carbon dioxide into the atmosphere as well as through dissociation of methane hydrates and thermogenic methane leading to rapid global warming (Robinson et al. [2017](#page-24-20); Valle et al. [2019](#page-26-3)). Researchers have widely considered a direct link between LIPs and OAEs throughout the Phanerozoic Eon. This is perhaps due to the fact that in the geological history of Earth, the regional to global scale OAEs occurred during the rapid, persistent greenhouse (i.e., global warming) state of the Earth (Fig. [1\)](#page-1-0). For example, the Mesozoic Era represents the greenhouse state and substantial evidence of rapid global warming during the Jurassic and Cretaceous periods have been reported. Various studies have arrived at a consensus that the LIPs played a signifcant role in triggering OAEs during the Jurassic and Cretaceous periods resulting in mass extinctions (Fig. [1](#page-1-0) and Supplementary Figs. 2 and 3). Among the various OAEs, the T-OAE; Posidonienschiefer event) during Early Toarcian of the Jurassic Period (~183 million years ago), the Early Aptian Oceanic Anoxic Event (OAE 1a, Selli Event) during Aptian of the Cretaceous Period (~120 million years ago), and the Cenomanian-Turonian Oceanic Anoxic Event (C/TOAE; OAE 2; Bonarelli Event) at the boundary of Cenomanian-Turonian of the Cretaceous Period (~93 million years ago) occurred on a global scale and have been commonly studied by researchers in a number of outcrops at diferent parts of the world. These major global scale OAEs have been directly linked by researchers with coeval LIPs.

For example, Percival et al. [\(2015\)](#page-24-1) worked on the direct link between Karoo-Ferrar LIP and the coeval T-OAE on the basis of detailed studies on mercury (Hg) concentrations and their behavior in atmosphere and sediments under various conditions at diferent spatial scales. Percival et al. ([2015](#page-24-1)) showed the potential of Hg element and Hg/TOC ratios as a global scale proxy for LIP volcanism and further documented the relationship between Karoo-Ferrar LIP and the T-OAE. Later, Boulila and Hinnov ([2017](#page-19-2)) also demonstrated on the basis of carbon isotope excursion (CIE) that there was a massive injection of  ${}^{12}C$  into the ocean and atmosphere/hydrosphere reservoirs from volcanic greenhouse gases and linked the global warming to Karoo-Ferrar LIP which fnally brought changes in climate leading to vast oceanic anoxia and the formation of T-OAE. Likewise, the global scale Cenomanian-Turonian Oceanic Anoxic Event (C/T OAE; OAE 2; Bonarelli Event) at the boundary of Cenomanian-Turonian of the Cretaceous Period (~93 million years ago) occurred when there is substantial evidence of the occurrences of several large-scale igneous provinces in Madagascar, the Caribbean-Colombian, Ontong Java II. Very recently, Joo et al. [\(2020\)](#page-22-6) made a detailed compilation of various environmental changes which led to this oceanic anoxic event (i.e., OAE 2) and they also assessed the enormous studies which documented that the Caribbean LIP played a major role in triggering OAE 2.

#### **Eustatic sea level change**

The eustatic/global sea-level change represents the changes in sea level of the total volume of Earth's oceans. Major changes in eustatic sea-level bring changes in sedimentary deposits of marine environments, as such changes strongly control accommodation space leading to marine transgression and regression phases. Thus, sea-level change is an important parameter in controlling the expansion of oxygen-depleted conditions in neritic settings during OAEs and major changes in sea level are commonly associated with OAEs (e.g., Supplementary Fig. 4). Despite its fundamental role, on a short scale  $(<1$  Ma) it remains one of the least constrained parameters for numerous OAEs. It has been documented that phases of marine transgression and regression occur during formation of the OAEs. For example, the sedimentological and geochemical evidence from Morocco and East Greenland showed that a forced regression shortly precedes (ca.10 $2$  kyear) the major transgression associated with Toarcian OAE (Krencker et al., [2019](#page-22-15)). The sea-level fuctuation has important implications for the organic products of the ocean and sediment distribution pattern along the continental margin and in the interior basin. Due to these fuctuations, this also becomes important for hydrocarbon exploration.

The sea-level changes control hydrographic-climatic patterns as well as biotic distribution. Knowledge of these sea-level changes led to a better understanding of global changes in environment and climate (Ganguly et al. [2015\)](#page-20-28) and, therefore, OAEs, particularly those of the Mesozoic and Cenozoic Era. For example, major environmental perturbations occurred during the early T-OAE of the Mesozoic Era. This T-OAE was associated with important faunal and foral turnover as well as soaring global temperatures and increased tropical cyclone intensity. The T-OAE is best characterized by a high amplitude negative carbon isotope excursion as recorded from carbonate micrite, bulk organic matter, wood debris, brachiopod valves, biomarkers, and organic matrix of belemnite rostra (Rita et al. [2020\)](#page-24-21). This has been observed in both shallow- and deep-water settings widely distributed over several terranes underlining the global character of this carbon cycle perturbation. Generally, a causal link between the emplacement of the Karoo-Ferrar large igneous province and the initiation of the T-OAE is postulated due to the synchronicity of these two events. However, the exact mechanism responsible for the faunal and foral turnover at the onset of the T-OAE remained uncertain besides the exact causes of the negative CIE until the end of the nineteenth century when various researchers explained these on evidence of gas hydrate dissociation as discussed in succeeding section.

Nevertheless, researchers have well established that the creation/destruction of shallow-marine habitats due to changes in sea level is known to be a primary control of marine biotic diversity. Despite this, and the equally important role of relative sea-level change in guiding oceanographic currents and the development of anoxic bottom water, there is currently no consensus about the amplitude and interpretation of early Toarcian high-frequency sea-level changes (Hermoso et al. [2013\)](#page-21-26). It is commonly accepted that, following the latest Pliensbachian "Spinatum" lowstand, the early Toarcian corresponds to a long-term transgression associated with a global sea-level rise, initially invoked as a cause for basinal anoxia/euxinia during the T-OAE (Krencker et al., [2019\)](#page-22-15). However, several studies have highlighted that a short-term regressive event characterizes the upper part of the Polymorphum Zone (Pittet et al. [2014](#page-24-22)). Nevertheless, it remains elusive if this was only a normal regression (i.e., progradation driven by sediment supply outpacing the rate of base-level rise at the coastline) or if it was coupled to a forced regression (i.e., progradation driven by base-level fall). Presently, the amplitude of the sea-level rise that contributed to the overall Toarcian transgression is unconstrained as well as its exact cause and role in the unfolding of the T-OAE (Krencker et al., [2019](#page-22-15))

In general, researchers have widely shown that the global OAEs in Earth's history are largely restricted in specifc sedimentary facies, i.e., gray to black shale facies, which are commonly organic-rich. The concentration of organic matter in distinct, widely distributed beds of black shale has been facilitated by reduced terrigenous sedimentation during transgression and/or the incursion of upwelling induced oxygen minima across the upper slope and shelf with rising sea level (Hallam and Bradshaw [1979;](#page-20-29) Arthur et al. [1987](#page-18-11); Schlanger et al. [1987](#page-25-18); Arthur et al. [1990;](#page-18-16) Arthur and Sageman [1994](#page-17-0)). Indeed, Arthur and Sageman ([1994](#page-17-0)) discussed in detail black shales and their depositional regimes in the stratigraphic record, particularly the Cretaceous age organiccarbon rich black shales confned during then OAEs. They documented that the most widespread such organic-rich, shale depositional events correspond with overall higher sea level stands (at global/regional scale). Very recently, Keller et al. ([2021\)](#page-22-27) explored the link between frst sea-level transgression in the western Narmada basin (Gujarat, India) during late Cenomanian to early Turonian OAE2. They demonstrated that the sea-level transgression and the OAE 2  $\delta^{13}C$ excursion during the late Cenomanian to early Turonian in the Narmada basin (India) well correlated with the Pueblo, Colorado, GSSP, and the eastern Sinai Wadi El Ghaib section of Egypt.

#### **Dissociation of gas hydrates**

Researchers have also documented association of OAEs with climate warming caused due to dissociation of gas hydrates (Hesselbo et al. [2000](#page-21-12) and references therein; Heydari and Hassanzadeh [2003](#page-21-27); Song and Woodcock, [2003;](#page-25-24) Röhl et al., [2007](#page-24-23); Hesselbo and Pieńkowski, [2011](#page-21-28); Ruebsam et al. [2019](#page-24-24) and references therein). Methane gas hydrates have also been found in the sub-surface sediments in permafrost regions, besides their common occurrence in marine/oceanic sediments, particularly on the sea foor on continental margins. What needs attention is that these marine gas hydrates are very sensitive to the temperature and pressure stability felds and therefore even slight changes in temperature and/ or pressure conditions on the sea foor can result in rapid dissociation of gas hydrates in to hydrocarbon gases, largely methane.

The release of enormous methane into the natural environment, to the ocean, and atmosphere strongly affects the balance between hydrosphere-lithosphere-atmosphere resulting in major changes in the global carbon cycle and climate (Hesselbo et al. [2000](#page-21-12); Song [2003](#page-25-24) and many more). Kennett et al. ([1991\)](#page-22-25) demonstrated that the gas hydrate dissociation signifcantly increases global temperature resulting in increased global warming and catastrophic chain reactions are further triggered. It has been well-established that methane is an important greenhouse gas, about 20 times larger than the same volume of carbon dioxide. Extensive dissociation of gas hydrates resulting in increased release of methane would subsequently create anoxic conditions in the sea-water and ultimately in the atmosphere which may cause mass extinction. Thus, gas hydrate dissociation data/ records would be signifcant in understanding the various global warming events in the Earth's history as well as the present and future changes in climate.

Substantial studies in the last two decades from geologic records have revealed that gas hydrate dissociation played an important role in controlling sea-level fuctuations, climate warming events, and occurrence of OAEs in the Earth's geological history before the Quaternary period. For example, Bratton ([1999](#page-19-19)) documented a mechanism of methane gas hydrates controlling sea level on the basis of clathrate eustasy whereby anomalous sea level falls during greenhouse periods of the Devonian, the Cretaceous, and the early Eocene. In other words, expected sea-level rise due to thermal expansion can be offset by a decrease of gas hydrate volume due to their dissociation. Likewise, Heydari et al. [\(2003](#page-21-29)) discussed in detail the Permian-Triassic boundary (PTB) anoxic event on the basis of the accumulation-dissociation cycle (Heaven-Hell cycle) of methane gas hydrates. Heydari et al. ([2003\)](#page-21-29) further elucidated the periodicity of OAEs and mass-extinctions on the basis of methane hydrates accumulation-dissociation cycles during the Phanerozoic Eon.

The Earth's geologic history witnessed several greenhouse/ hyperthermal periods, wherein negative carbon isotope excursions (CIEs) have been well explained on the evidence of periodic gas hydrate dissociation events (e.g., in the Early Cretaceous by Jahren et al. [2001;](#page-21-21) in the Early Jurassic sediments by Hesselbo et al. [2000](#page-21-12); in the Late Jurassic sediments by Padden et al. [2001;](#page-24-25) in the Paleocene-Eocene by Matsumoto [1995](#page-23-27) and Dickens et al. [1995\)](#page-19-20). The early T-OAE in the Jurassic period has been documented to have shown important global perturbations in carbon-cycle and climate. It is characterized by exceptionally high rates of organic carbon burial, high palaeotemperatures, and signifcant mass extinction (Hesselbo et al. [2000\)](#page-21-12). In a manner similar to some other hyperthermal periods, the origin of such distinct, 3–8‰ negative CIE during this early T-OAE has been commonly debated with no acceptable view. However, the

possibility of gas hydrate dissociation in marine-continental margin sediments resulting in rapid release of excessive methane could readily explain such a pattern of large, negative CIEs (Hesselbo et al. [2000](#page-21-12); Jiang et al. [2003](#page-22-28)). Likewise, such trend in CIEs from the study of marine and terrestrial sediments during one of the most widely studied hyperthermal periods, PETM at ~54.95 Ma, has been explained on the basis of methane hydrate dissociation (Matsumoto [1995](#page-23-27); Dickens et al. [1995](#page-19-20); Dickens [2001](#page-19-21), Zachos et al. [2005;](#page-26-27) Röhl et al., [2007\)](#page-24-23).

### **Impact events: asteroid impacts**

Major impact events have been reported in the Earth's history and are commonly considered to be responsible for various mass extinctions. Rumpf et al. ([2017](#page-24-26)) defned an impact event as a collision between astronomical objects (e.g., asteroids, meteorites, or comets on planets) resulting in considerable effects on all-natural spheres. On terrestrial planets, like our Earth, effects due to impact events depend on a number of factors, and depending on astronomical body size would result in regional to global scale earthquakes, tsunamis, and other natural hazards. If an asteroid hits on Earth's land surface then it would result in enormous dust in the atmosphere whereas if it hits Earth's water bodies then it would result in signifcant water vapor in the atmosphere. Subsequently, this would result in dust-storms, fre-storms, rain-storms bringing severe changes in the atmosphere and consequently efects on the biosphere. There are more than hundreds of reported impact events in the Earth's recorded history, whereby catastrophic effects have been documented. Similarly, researchers have geological evidence of past impact events in the Earth's Pre-Quaternary history (Alvarez et al. [1980;](#page-17-6) Smit and Hertogen [1980;](#page-25-25) Wolbach et al. [1988](#page-26-28) and references therein; Hildebrand et al. [1991](#page-21-30); Kaiho and Lamolda 1999; Norris et al. [1999](#page-24-27); Pierazzo et al. [2003](#page-24-28); Schulte et al. [2010;](#page-25-26) Ohno et al. [2014;](#page-24-29) Kaiho et al. [2016](#page-22-29); Kaiho and Oshima [2017](#page-22-30); Witts et al. [2018;](#page-26-29) Chiarenza et al. [2020](#page-19-22) and references therein). These impacts signifcantly changed climate, including the formation of OAEs, and subsequently resulted in mass extinctions.

The Permian-Triassic mass extinction (about 250 Ma ago) is one of the greatest mass extinctions on Earth. Substantial studies have been done to understand the cause behind this mass extinction, however, reached no consensus. Muller et al. ([2005](#page-23-28)) associated this mass extinction with impact event on the evidence of age and origin of an impact crater namely "bedout high structure." In general, impact craters and structures are the most common landforms created by various impact events. Similarly, the Cretaceous-Paleogene (K-Pg boundary) mass extinction at about 66 million years ago is most commonly associated with asteroid impact events (Chiarenza et al. [2020](#page-19-22) and references therein). As soon as the beginning of nineteen eighties, Alvarez and his team of researchers (Alvarez et al. [1980](#page-17-6)) noticed unusually high concentrations of iridium element in ~65-million-yearold rock strata whose distribution was found worldwide, thereby indicating them to conclude the possibility of the collision of a large asteroid. However, such unusual iridium enrichment can also be caused by volcanic events. The volcanic origin was discarded by Shukolyukov and Lugmair [\(1998](#page-25-27)) on the basis of anomalies in chromium isotopic ratios which were indeed very similar to those observed in carbo-naceous asteroids. Carter et al. ([2010](#page-19-23)) supported this asteroid impact on the basis of the presence of coesite mineral which is typical of large impact events. However, the discovery of the "Chicxulub" crater on the Yukatan Peninsula of Mexico forms the most robust evidence for this asteroid impact occurrence at the Cretaceous-Paleogene boundary (Pierazzo et al. [2003;](#page-24-28) Schulte et al. [2010\)](#page-25-26).

Taking the example of the Chicxulub-scale asteroid, Kaiho et al. ([2016](#page-22-29)) and Kaiho and Oshima ([2017](#page-22-30)) demonstrated that massive soot presence could be the main cause of mass extinction after an asteroid impact. Kaiho et al. ([2016](#page-22-29)) did detailed documentation of direct evidence for stratospheric soot at the K-Pg boundary due to Chicxulub asteroid impact. They demonstrated the global level spread of massive amounts of soot which efficiently absorbed and scattered sunlight in the stratosphere. This affected the global climate because the soot aerosols brought severe cooling and subsequently cessation faunal food productivity on land and in global oceans resulting in mass extinction. Later on, Kaiho and Oshima ([2017](#page-22-30)) clearly showed how and why the amounts of stratospheric soot and sulfate sourced from asteroid impact would vary at various global locations. Recently (Chiarenza et al. [2020](#page-19-22)) compiled various data to compare asteroid impact and Deccan volcanism drivers for the K-Pg mass extinction and global climate change. They illustrated that the Chicxulub asteroid impact severely afected climate and global ecosystems and concluded this impact as the major driver of mass extinction because even the highest assumed intensity of sulfates due to Deccan volcanism, barely approaches the lowest estimates of release by Chicxulub impact.

#### **Tectonic changes**

Researchers have documented that one of the factors responsible for the formation of oceanic anoxic conditions is the changes in tectonic conditions, particularly greater rates of seafoor spreading, submarine volcanism, and hydrothermal activity coupled with rising sea level and global warming (Leckie et al. [2002](#page-23-2) and references therein). For example, the mid-Cretaceous time period (~124–90 Ma) records a number of major OAEs and this is also the time wherein increased tectonic rates, changes in paleogeography have been documented which resulted in changes in the oceanclimate system (Larson and Pitman [1972](#page-23-29); Hays and Pitman [1973](#page-20-30); Arthur et al. [1985](#page-18-10); Kominz [1984;](#page-22-31) Barron [1987](#page-18-27); Larson, [1991](#page-23-30); Richter et al. [1992](#page-24-30); Jones et al. [1994;](#page-22-32) Ingram et al. [1994;](#page-21-31) Hay [1995;](#page-20-31) Clarke and Jenkyns [1999;](#page-21-9) Poulsen et al., [1999](#page-24-31), [2001;](#page-24-32) Jones and Jenkyns [2001](#page-22-22); Leckie et al. [2002](#page-23-2)). Reports of increased rates of seafoor spreading and ridge length during Aptian which subsequently with time resulted in a eustatic rise in sea level during Albian-Turonian have been found. For example, Richter et al. [\(1992\)](#page-24-30) gave 40–50% higher rates of seafoor spreading during the mid-Cretaceous than what they are in the present time.

Leckie et al. ([2002\)](#page-23-2) discussed in detail the tectonic forcing during mid-Cretaceous and to what extent tectonically driven changes controlled spatial and temporal distribution of the OAEs. They demonstrated that the OAEs of the latest Albian and Cenomanian/Turonian boundary had been caused by diferent tectonic and ocean-climate conditions than the early Aptian and latest Aptian–early Albian events. Thus, accelerated ocean crust production along with active mid plate and plate margin volcanism subsequently triggered greenhouse conditions and consequently rising sea level which fnally resulted in oceanic anoxic to dysoxic conditions.

### **Ocean stratifcation**

Ocean stratifcation/layering occurs when water masses of diferent densities meet, wherein lower density water is on top of higher density water. In particular temperature and salinity are the major properties controlling density and the greater the diferences in the properties between layers, the less mixing occurs between the layers. Stratifcation is increased when the temperature of the surface ocean or the amount of freshwater input into the ocean from rivers and ice-melt increases, thereby intensifying ocean deoxygenation. Ocean deoxygenation results in suboxic, hypoxic, and anoxic conditions in both coastal waters and the open ocean. Several areas of the open ocean are called oxygen minimum zones (OMZs) when they show naturally low oxygen concentration due to biological oxygen consumption which cannot be supported by the rate of oxygen input to the area from physical transport, air-sea mixing, or photosynthesis (Breitburg et al. [2018\)](#page-19-24).

Most ocean anoxic events are thought to be caused by high productivity and the export of carbon from surface waters which are then preserved in organic-rich sediments, known as black shales (Erbacher et al. [2001](#page-20-17)). The accelerated hydrological cycle during OAEs plays a key role in supplying nutrients to the coastal water and enhancing stratifcations contributing to the development of ocean anoxia (Dera and Donnadieu, [2012](#page-19-25)). The decrease in salinity during OAE 2 due to enhanced runoff resulted in increased stratifcation along the continental margin which led to water column deoxygenation (van Helmond et al. [2014](#page-26-30)). The Euxinic conditions have been favored due to restrictions of the ocean/seaway, however, increased freshwater input promoted water column stratifcation. Such kinds of increased stratifcation of the water column by surface water warming and/or increased runoff indicate that black shale formed as a mega sapropel as an analogy to Plio-Pleistocene sapropel accumulation in the Mediterranean Sea (Kuypers et al. [2001](#page-23-31)).

# **Paleocene‑Eocene HFB sediments: possibility of OAE**

The end of the Paleocene and the beginning of Eocene geological epochs in the Cenozoic Era is marked by an abrupt rise in temperature and the major changes in Earth's carbon cycle. Earlier this climate event at the boundary of Paleocene-Eocene was known as "Initial Eocene Thermal Maximum" or "Late Paleocene Thermal Maximum" but now popularly known as "PETM," if not "Eocene Thermal Maximum 1 (ETM1)." A wide array of researchers has studied in detail the PETM due to various reasons and majority of the researchers consider it as an analog to understand global warming. It has already been mentioned in the preceding sections that the only identifed OAE in the Cenozoic which is also believed to be the last OAE of the Phanerozoic could perhaps form during the PETM. Kennett and Stott ([1991](#page-22-25)) frst documented this on the evidence of an abrupt increase in global temperatures. McInerney and Wing [\(2011](#page-23-32)) compiled in detail and revealed an increase in global temperatures by 5–8 °C whereas earlier interpreted the increase in global temperatures by  $\sim$  5 °C. Thus, it was obvious for researchers to believe PETM as a representative OAE because major global OAEs have been recorded during increased global temperatures (i.e., greenhouse conditions) in Earth's history. A number of causes like largescale volcanism, biomass burning, impact event, permafrost thaw, gas hydrate dissociation, and tectonic changes have been put forth by researchers to explain PETM; however, methane hydrate dissociation remains the most commonly accepted. Jenkyns [\(2010](#page-21-1)) observed that the Palaeocene-Eocene Himalayan foreland basin sediments, which coincide with the India-Eurasia collision and PETM share many features and phenomena in common to other OAEs and thus may represent another global OAE.

The Himalayan Foreland Basin (HFB) is the southernmost subdivision of the fve litho-tectonic subdivisions of the Himalaya (Dutta et al. [2019\)](#page-20-32). Detailed geology and stratigraphy of the HFB have been compiled by a number of researchers (Raiverman [2002;](#page-24-33) Acharyya [2007](#page-17-7); Jain et al. [2009](#page-21-32), etc.). The HFB comprises sediments of shallow marine facies to brackish-fresh water molasse facies and

<span id="page-15-0"></span>**Fig. 4** Representative feld photographs showing characteristic sedimentary facies of OAE in the Paleocene-Eocene dated Subathu Formation exposed in and around the Kalakot area of Jammu and Kashmir (India). Facies present includes black to gray shale in alteration with coal and siltstone/fossiliferous limestone. Person and geological hammer used as scale are, respectively, ~180 and ~29 cm. Photographs comprise both inclined (i.e., a and e) and subvertical to vertical (i.e., b, c, d, and f) surfaces



can be broadly subdivided into the Paleogene Pre-Siwalik sediments and the Neogene Siwalik Group sediments. The Paleocene-Middle Eocene marine transgression commenced with deposition of the Subathu Formation and its age has been estimated to range between 61.5 and 43.7 Ma (Lakshami et al. [2000\)](#page-23-33), whereas Sangode et al. ([2005\)](#page-25-28) magnetostratigraphically dated its uppermost part as ~41.5 Ma. The Subathu Formation is the oldest (Paleocene-Eocene) stratigraphic unit of HFB and predominately consists of fossiliferous marine limestone and shale. Its deposition started well before the Himalayan upheaval as it has minimal evidence of terrigenous clastic infuence. The shallow marine Subathu Formation predominantly consists of shale with siltstone, thin fossiliferous limestone, and sandstone intercalations (Raiverman, [1979](#page-24-34)) and is deposited during the initial phase of contact, collision, and shutters. It overlies the Precambrian Simla Slates in Himachal Pradesh and Sirban Limestone in Jammu and Kashmir and is overlain by the continental deposits of the Murree Group (equivalent to Dagshai and Kasauli Formations/Dharamsala Formation in diferent parts of the HFB). These marines to shallow marine, regionally extensive, black to gray-green shales represent last phase deposits of the Tethys Sea. These coincide

<span id="page-16-0"></span>**Fig. 5** Representative feld photographs showing characteristic sedimentary facies of OAE in the Paleocene-Eocene dated Subathu Formation exposed **a** in and around Jammu region of Jammu and Kashmir (India) and the photograph is of subvertical surface (**b**) to **e** in and around Koti area of Simla hills in the Himachal Pradesh state of India. An alternating sequence of black to grayish green shale, fossiliferous limestone, and siltstone are present. The geological hammer used as the scale is ~29 cm. Photographs b and c are of inclined surfaces. Photographs d and e are closer views of c to show biofacies/ fossils



with PETM and require detailed investigations because these may represent records of Paleocene-Eocene OAE.

Thus, Paleocene-Eocene HFB marine sediments represented by Subathu Formation (Patala and Balakot formations) occur in and around the Jammu region (of the Ramnagar sub-basin) and Simla region (of the Subathu sub-basin) and have been explored for sedimentary facies indicative of anoxic conditions. Representative feld photographs are shown in Figs. [4](#page-15-0) and [5.](#page-16-0) These comprise alternating sequences of calcareous and argillaceous sediments. In general, breccia, bauxite, coal and black, carbonaceous shale occur in the basal part of the succession followed by alternations of shale and marl. This formation has yielded various shallow marine invertebrate fossils, particularly foraminifers. Some of the shale beds are referred to as nummulitic shales due to an abundance of these fossils. Acharyya [\(2007](#page-17-7)) reported that Mathur and Juyal ([2000](#page-23-34)) showed the presence of *Daviesina gurumensis* of late Thanetinian age from the basal Subathu Formation with pisolitic laterite from this Kalakot section of the Jammu region. Calculated TOC values from representative black, gray to green shales range 0.1 to 4.2%. The Subathu Formation is considered a principal transgressive-regressive succession that incorporates a rhythmic series of small-scale repetitions, wherein limestones represent transgressions and shales represent regressions (Singh and Andotra [2000](#page-25-29)). Thus, lithofacies association in Subathu Formation shows alternating transgressive and regressive facies. Chaudhri ([1976](#page-19-26)) recognized three transgressive and regressive cycles. As discussed under Section Eustatic sea level *change*, such transgression and regression phases are commonly associated with OAEs.

Therefore, these early (i.e., Paleocene-Eocene) Himalayan foreland basin sediments deposited under marginal marine, euxinic conditions on the basis of above-documented lithofacies and contain sufficient TOC amount. This kind of depositional environment for these sediments has been well established by other researchers all along the HFB. It suggests the possible representation of these early Himalayan sediments as records of OAE. For example, the very frst and foremost OAE indicator is the presence of characteristic sedimentary and biostratigraphic facies (Section Characteristics of oceanic anoxic events (OAEs)) shown by them. These Paleocene-Eocene Himalayan sediments are laterally extensive all along the HFB and the Subathu Formation occurs in discontinuous fragments along the fanks of the Sirban Limestone's Inliers and varies in thickness. The thickness observed at an outcrop in and around the Kalakot area (Jammu region) is about 5080 m. The exact thickness of this formation is complex due to the tectonic complexity of the region. Thus, extensive studies all along the HFB covering these marines to shallow marine Paleocene-Eocene Himalayan foreland basin sediments in the context of anoxic events is overdue to establish records of the spatial extent of OAE in these sediments.

## **Conclusions**

This comprehensive review shows that enormous work on OAEs has been done worldwide. However, more studies on OAEs in parts of India require attention. Also, a review of various major factors for identifed OAEs as given by researchers throughout geological history suggest that the regional to global scale OAEs occurred in shallow marine sediments during rapid, persistent greenhouse/hyperthermal (i.e., global warming) state of the Earth. This is irrespective of the factors responsible for this state of Earth. For example, the Mesozoic Era represents the greenhouse state, and substantial evidence of rapid global warming during Jurassic and Cretaceous time periods have been reported, wherein maximum numbers of OAEs have been identifed.

The Paleocene-Eocene epoch of the Cenozoic represents the only identifed OAE in the Cenozoic Era (i.e., PETM) by some researchers as these remarkably share features similar to those of Paleozoic and Mesozoic OAEs. However, the coeval Paleocene-Eocene dated early HFB sediments deposited during the closing of Tethys Sea have not been studied in detail for OAE records irrespective of their good geological exposures all along the HFB. Such exposures in and around the Jammu region and Simla region represented by Subathu Formation have shown OAE specifc bio- and litho-facies associations which are characteristic of alternating transgressive-regressive successions. Deposition of these sediments, comprising dominantly the black to gray-green shales took place under euxinic, shallow marine conditions but occur in discontinuous patches all along the HFB and also greatly vary in thickness due to the tectonic complexity of the Himalayan region. Perhaps the tectonic complexity of the Himalayan region and discontinuous occurrence of these regionally extensive early HFB sediments is the reason behind their meager studies. Nevertheless, these sediments representing the last phase deposits of the Tethys Sea during PETM, need extensive investigations all along the HFB because these could reveal at least a regional, if not global scale OAE during Paleocene-Eocene time of Himalayan orogeny.

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#### **Declarations**

**Conflict of interest** The authors declare no competing interests.

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